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TRA	NSMITTA	Docket No. RD28584-1							
In Re Application Of: Rodrigues DEC 3 0 2004									
Application No. Filing Date Examiner Examiner Customer No. Group Art Unit Confirmation No.									
10/6	608,976	June 27, 2003	NYA	23413	1751	6404			
Title:									
ELECT	TRICALLY	CONDUCTIVE COM	MPOSITIONS AND METHOD	OF MANUFAC	TURE THEREO)F			
			Address to: Commissioner for Pater P.O. Box 1450 Alexandria, VA 22313-1						
			37 CFR 1.97(b)						
· 1. 🗵	of a nation three mont application	al application other ths of the date of en ; before the mailing	atement submitted herewith is than a continued prosecution try of the national stage as se of a first Office Action on the n est for continued examination u	application und t forth in 37 CF nerits, or before	der 37 CFR 1.53 R 1.491 in an in the mailing of a	B(d); within ternational			
			37 CFR 1.97(c)	•					
2.	CFR 1.97(Final Action	b), provided that the on under 37 CFR 1	atement submitted herewith is information Disclosure State .113, a Notice of Allowance in the application, and is accom	ment is filed be under 37 CFR	efore the mailing 1.311, or an A	date of a			
	☐ the s	statement specified in	n 37 CFR 1.97(e);			· :			
			OR			;			
	☐ the f	ee set forth in 37 CF	FR 1.17(p).						

TRANSMITTA	AL OF INFORMA (Under 37 CF)	ATION DISCLO R 1.97(b) or 1.97		TEMENT	11	cket No. 28584-1			
In Re Application	n: Rodrigues		DEC 3 0	TOUR E					
Application No.									
10/608,976	June 27, 2003	NYA		23413	1751	6404			
Title:									
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*This certifice deposit accou	ate may only be used int.	if paying by	Dated:	12/28/04					
David E. Rodrigues	Signature								
Registration No. 50,									
Customer No. 23413	•								
(860) 286-2929	,								
cc.									

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

plicant: Rodrigues

Group Art Unit: 1751

Serial No.:

10/608,976

Filing Date:

June 27, 2003

Examiner: NYA

For:

ELECTRICALLY CONDUCTIVE COMPOSITIONS AND METHOD

OF MANUFACTURE THEREOF

Commissioner for Patents.

P. O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By:

David E. Rodrigues

Registration No. 50,604

Date:

Customer No.: 23413

Telephone:

(860) 286-2929

PTO-1449

INFORMATION DISCLOSURE STATEMENT BY AP **LIST OF ITEMS**

EXAMINER

Attorney's Docket Number Serial Number RD28584-1 10/608,976 Name of Applicant

Group

1751

DATE CONSIDERED

06/27/2003

Rodrigues Filing Date

(Use several sheets if necessary)

PATENT DOCUMENTS

U.S. PATENT DOCUMENTS							
Examiner Initial	Document Number	Date	NAME	Class	Subclass	Filing Date If Appropriate	
1.	2,465,319	03/22/1949	Whinfield et al.				
2.	3,047,539	07/31/1962	Pengilly				
3.	3,847,867	11/12/1974	Heath et al.				
4.	3,850,885	11/26/1974	Takekoshi et al.				
5.	3,852,242	12/03/1974	White				
6.	3,855,178	12/17/1974	White et al.				
7.	3,972,902	08/03/1976	Heath et al.				
8.	3,983,093	09/28/1976	Williams, III et al.				
• 9.	4,115,475	09/19/1978	Foy et al.				
10.	4,141,927	02/27/1979	White et al.				
11.	4,195,015	03/25/1980	Deleens et al.				
12.	4,230,838	10/28/1980	Foy et al.				
13.	4,331,786	05/25/1982	Foy et al.				
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15.	4,443,591	04/17/1984	Schmidt et al.				
16.	4,455,410	06/19/1984	Giles, Jr. et al.				
17.	4,492,382	01/08/1985	Hounsel				
18.	4,559,164	12/17/1985	Kostelnik et al.				
19.	4,637,945	01/20/1987	Masui et al.				
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

			Attorney's Docket Number Serial Number RD28584-1 10/608,976					
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Name of Applicant					
	LIST OF ITEMS		Rodrigues					
,			Filing Date	Group				
	(Use several sheets if necessary))	06/27/2003	1751				
			T DOCUMENTS					
Examiner Initial	Document Number	Date	NAME	Class	Subclass	Filing Date If Appropriate		
20.	4,839,441	06/13/1989	Cuzin et al.					
21.	4,864,014	09/05/1989	Cuzin et al.					
. 22.	4,871,613	10/03/1989	Akao					
23.	4,876,033	10/24/1989	Dziurla et al.					
24.	4,908,418	03/13/1990	Holub					
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26.	4,966,729	10/30/1990	Carmona et al.					
27.	4,968,418	11/06/1990	Rohr			******		
28.	5,004,561	04/02/1991	Nomura et al.					
29.	5,071,329	12/10/1991	Sano et al.					
· 30.	5,093,435	03/03/1992	Harris et al.					
31.	5,159,053	10/27/1992	Kolycheck et al.					
32.	5,171,761	12/15/1992	Penco et al.					
33.	5,227,038	07/13/1993	Smalley et al.			-		

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DATE CONSIDERED

EXAMINER

PTO-1449	Attorney's Docket Number RD28584-1	Serial Number 10/608,976	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u>	Name of Applicant Rodrigues		
(Use several sheets if necessary)	Filing Date 06/27/2003	Group 1751	

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34.	5,284,903	02/08/1994	Minnick			
35.	5,300,203	04/05/1994	Smalley			
36.	5,302,274	04/12/1994	Tomantschger et al.			
37.	5,312,866	05/17/1994	Tsutsumi et al.			
38.	5,385,970	01/31/1995	Gallucci et al.			
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43.	5,556,517	09/17/1996	Smalley			
. 44.	5,566,892	10/22/1996	Creehan			
45.	5,571,875	11/05/1996	Tsutsumi et al.			
46.	5,604,284	02/18/1997	Ueda et al.			
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PTO-1449 Attorney's Docket Number RD28584-1 10/608,976 INFORMATION DISCLOSURE STATEMENT BY APPLICANT LIST OF ITEMS (Use several sheets if necessary) Attorney's Docket Number RD28584-1 10/608,976 Name of Applicant Rodrigues Filing Date Group 1751

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Examiner Initial	Document Number	Date	NAME	Class	Subclass	Filing Date If Appropriate	
47.	5,652,326	07/29/1997	Ueda et al.				
48.	5,840,807	11/24/1998	Frey et al.				
49.	5,863,466	01/26/1999	Mor				
50.	5,866,647	02/02/1999	Massey et al.				
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52.	6,063,874	05/16/2000	Jin et al.				
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. 57.	6,150,473	11/21/2000	Brown et al.				
58.	6,156,256	12/05/2000	Kennel				
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PTO-1449 Attorney's Docket Number Serial Number RD28584-1 10/608,976 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Name of Applicant Rodrigues LIST OF ITEMS Filing Date Group (Use several sheets if necessary) 06/27/2003 1751 **U.S. PATENT DOCUMENTS** Document Number NAME Class Subclass Filing Date Date Examiner If Appropriate Initial US 6,331,265 B1 12/18/2001 Dupire et al. 66. 02/12/2002 Dai et al. US 6,346,189 B1 67. US 6,365,069 B2 04/02/2002 Butler et al. 68. US 6,368,569 B1 04/09/2002 Haddon et al. 69. US 6,372,376 B1 04/16/2002 Fronk et al. 70. 71. US 6,376,057 B1 04/23/2002 Akao et al. 72. US 6,379,795 B1 04/30/2002 Bisaria et al. 73. US 6,407,922 B1 06/18/2002 Eckblad et al. 74. US 6,426,134 B1 07/30/2002 Lavin et al. 75. US 6,517,995 B1 02/11/2003 Jacobson et al. 03/04/2003 Patel et al. 76. US 6,528,572 B1 04/01/2003 77. US 6,540,945 B2 Kubotera et al. US 6,544,463 B1 04/08/2003 Luzzi et al. 78. 79. US 6,555,945 B1 04/29/2003 Baughman et al. US 6,576,341 B1 06/10/2003 Davey et al. 80. US 6,599,446 B1 07/29/2003 Todt et al. 81. US 6.630,772 B1 10/07/2003 Bower et al. 82. US 6,634,321 B2 10/21/2003 Hussain et al. 83. 84. US 6,643,165 B2 11/04/2003 Segal et al. US 6,689,835 B2 02/10/2004 Amarasekera et al. 85. US 6,734,262 B2 05/11/2004 86. Patel

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PTO-1449 Attorney's Docket Number Serial Number RD28584-1 10/608,976 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Name of Applicant **LIST OF ITEMS** Rodrigues Filing Date Group (Use several sheets if necessary) 06/27/2003 1751 **U.S. PATENT DOCUMENTS** Document Number NAME Class Subclass Filing Date Examiner Date If Appropriate Initial US 2001/0010809 A1 08/02/2001 89. Haddon et al. 90. US 2001/0016608 A1 08/23/2001 Haddon et al. US 2001/0023937 A1 09/27/2001 Patel 91. US 2001/0031900 A1 92. 10/18/2001 Margrave et al. US 2001/0041160 A1 11/15/2001 93. Margrave et al.

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PTO-1449 Attorney's Docket Number Serial Number RD28584-1 10/608,976 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Name of Applicant Rodrigues **LIST OF ITEMS Filing Date** Group (Use several sheets if necessary) 06/27/2003 1751 **U.S. PATENT DOCUMENTS** Filing Date If Appropriate Examiner Document Number Date NAME Class Subclass Initial US 2002/0098135 A1 Smalley et al. 07/25/2002 113. US 2002/0102193 A1 08/01/2002 Smalley et al. 114. US 2002/0102194 A1 08/01/2002 Smalley et al. 115. US 2002/0102196 A1 08/01/2002 Smalley et al. 116. US 2002/0102201 A1 117. 08/01/2002 Colbert et al. US 2002/0102203 A1 08/01/2002 Smalley et al. 118. US 2002/0109086 A1 08/15/2002 Colbert et al. 119. 08/15/2002 Colbert et al. 120. US 2002/0109087 A1 US 2002/0110513 A1 08/15/2002 Margrave et al. 121. 122. US 2002/0127162 A1 09/12/2002 Smalley et al. Smalley et al. US 2002/0127169 A1 09/12/2002 123. US 2002/0136681 A1 09/26/2002 Smalley et al. 124. US 2002/0136683 A1 09/26/2002 Smalley et al. 125. US 2002/0150524 A1 10/17/2002 Smalley et al. 126. 10/24/2002 Fitts et al. 127. US 2002/0155333 A1 US 2002/0159943 A1 10/31/2002 Smalley et al. 128. 129. US 2002/0159944 A1 Smalley et al. 10/31/2002 10/31/2002 Carroll et al. 130. US 2002/0161101 A1 US 2002/0179564 A1 12/05/2002 Geobegan et al. 131. US 2002/0172789 A1 Watson et al. 11/21/2002 132 US 2002/0176650 A1 11/28/2002 Zhao et al. 133. US 2002/0180077 A1 Glatkowski et al. 134. 12/05/2002 US 2002/0185770 A1 12/12/2002 135. McKague

EXAMINER DATE CONSIDERED

01/02/2003

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Sun et al.

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PTO-1449 Attorney's Docket Number **Serial Number** 10/608,976 RD28584-1 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Name of Applicant **LIST OF ITEMS** Rodrigues Filing Date Group (Use several sheets if necessary) 06/27/2003 1751 **U.S. PATENT DOCUMENTS** Examiner Document Number Date NAME Class Subclass Filing Date If Appropriate Initial US 2003/0004058 A1 137. 01/02/2003 Li et al. US 2003/0008123 A1 01/09/2003 Glatkowski et al. 138. US 2003/0010910 A1 01/16/2003 139. Colbert et al. US 2003/0012722 A1 01/16/2003 140. Liu US 2003/0026754 A1 02/06/2003 Clarke et al. 142. US 2003/0044608 A1 03/06/2003 Yoshizawa et al. US 2003/0053801 A1 03/20/2003 143. Cornell US 2003/0066960 A1 04/10/2003 144. Colbert et al. US 2003/0075682 A1 04/24/2003 Colbert et al. 145. 146. US 2003/0083421 A1 05/01/2003 Kumar et al. US 2003/0089890 A1 05/15/2003 Niu et al. 147 US 2003/0089893 A1 05/15/2003 148. Niu et al. US 2003/0100653 A1 05/29/2003 149. Chacko US 2003/0106998 A1 06/12/2003 Colbert et al. 150. US 2003/0108477 A1 151. 06/12/2003 Keller et al. US 2003/0111333 A1 06/19/2003 Montgomery et al. 152. Glatkowski et al. 153. US 2003/0122111 A1 07/03/2003 154. US 2003/0124717 A1 07/03/2003 Awano et al. US 2003/0132376 A1 07/17/2003 Bonnell et al. 155.

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11/25/2004

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Elkovitch

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PTO-1449 Attorney's Docket Number RD28584-1 10/608,976 INFORMATION DISCLOSURE STATEMENT BY APPLICANT LIST OF ITEMS (Use several sheets if necessary) Attorney's Docket Number 10/608,976 Name of Applicant Rodrigues Filing Date 06/27/2003 Group 1751

EODEIGN PATENT DOCUMENTS

		FOREIGN PA	TENT DOCUMENTS			
Examiner Initial	Document Number	Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
175.	WO 97/09272	13.03.97	PCT			NO
176.	WO 97/15935	01.05.97	PCT			NO
177.	WO 97/32646	12.09.97	PCT			NO
178.	WO 98/05920	12.02.98	PCT			NO
179.	WO 98/39250	11.09.98	PCT			NO
180.	WO 00/17101	30.03.00	PCT			NO
181.	WO 00/17102	30.03.00	PCT			NO
182.	WO 00/26138	11.05.00	PCT			NO
183.	WO 00/52710	08.09.00	PCT			NO
184.	WO 01/17101 A1	08.03.01	PCT			NO
185.	WO 01/30694 A1	03.05.01	PCT			NO
186.	WO 01/38219 A1	31.05.01	PCT			NO
187.	WO 01/49599 A2	12.07.01	PCT			NO
188.	WO 01/57284 A1	09.08.01	PCT			NO
189.	WO 01/75902 A1	11.10.01	PCT			NO
190.	WO 01/89013 A2	22.11.01	PCT			NO
191.	WO 01/92381 A1	06.12.01	PCT			NO
192.	WO 01/99146 A2	27.12.01	PCT			NO
193.	WO 02/16257 A2	28.02.02	PCT			NO
194.	WO 02/20402 A1	14.03.02	PCT			NO
195.	WO 02/39051 A2	16.05.02	PCT			NO
196.	WO 02/42204 A2	30.05.02	PCT			NO
197.	WO 02/055769 A1	18.07.02	PCT			NO
198.	WO 02/060812 A2	08.08.02	PCT			NO

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Examiner	Document Number	Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
Initial 199.	WO 02/060813 A2	08.08.02	PCT			NO
200.	WO 02/064868 A1	22.08.02	PCT			NO
201.	WO 02/064869 A1	22.08.02	PCT			NO
202.	WO 02/068170 A1	06.09.02	PCT			NO
203.	WO 02/076430 A1	03.10.02	PCT			NO
204.	WO 02/076724 A1	03.10.02	PCT			NO
205.	WO 02/076888 A1	03.10.02	PCT		-	NO
206.	WO 02/076903 A2	03.10.02	PCT			NO -
207.	WO 02/079082 A2	10.10.02	PCT			NO
208.	WO 02/080195 A1	10.10.02	PCT			NO
209.	WO 02/081372 A2	17.10.02	PCT			NO
210.	WO 02/088025 A1	07.11.02	PCT			NO
211.	WO 02/090330 A1	14.11.02	PCT			NO
212.	WO 02/095097 A1	28.11.02	PCT			NO
213.	WO 02/100931 A1	19.12.02	PCT			NO
214.	WO 02/100154 A2	19.12.02	PCT			NO
215.	WO 02/100775 A2	19.12.02	PCT			NO
216.	WO 02/44566 A1	06.06.02	PCT			NO
217.	WO 03/004741 A1	16.01.03	PCT			NO
218.	WO 03/005450 A2	16.01.03	PCT			NO
219.	WO 03/007314 A1	23.01.03	PCT			NO
220.	WO 03/011755 A1	13.02.03	PCT			NO
221.	WO 03/012722 A1	13.02.03	PCT			NO
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^{*} **EXAMINER**: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT LIST OF ITEMS			Attorney's Docket Number Serial Number 10/608,976 Name of Applicant Rodrigues			
	(Use several sheets if necessary)		Filing Date 06/27/2003	Group 1751		
			NT DOCUMENTS		T	
Examiner Initial	Document Number	Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
222.	WO 03/013199 A2	13.02.03	PCT			NO
223.	WO 03/020638 A1	13.03.03	PCT			NO
224.	WO 03/040026 A2	15.05.03	PCT			NO
225.	WO 03/049219 A1	12.06.03	PCT			NO
226.	WO 03/070821 A2	28.08.03	PCT			NO
227.	WO 03/072679 A1	04.09.03	PCT			NO
228.	WO 03/076703 A1	18.09.03	PCT			NO
229.	WO 03/078317 A1	25.09.03	PCT			NO
. 230.	WO 03/078315 A2	25.09.03	PCT			NO
231.	WO 03/085049 A1	16.10.03	PCT			NO
232.	WO 03/085681 A1	16.10.03	PCT			NO
233.	WO 03/092763 A1	13.11.03	PCT			NO
234.	EP 0 365 168 B1	19.05.99	European			NO
235.	EP 0 822 224 A2	04.02.98	European			NO
236.	EP 0 854 839 B1	24.04.02	European			NO
237.	EP 1 209 123 A2	29.05.02	European			NO
238.	EP 1 336 673 A1	20.08.03	European			NO
239.	EP 1 073 090 A2	31.01.01	European			NO
240.	EP 1 359 169 A2	05.11.03	European			NO

	PTO-1449	Attorney's Docket Number RD28584-1	Serial Number 10/608,976			
INFORMATION	ON DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u>	Name of Applicant Rodrigues				
	(Use several sheets if necessary)	Filing Date 06/27/2003	Group 1751			
<u> </u>	OTHER INFORMATION (included)					
241.	Japanese Abstract for JP 2002273741 A Publi	cation date 25.09.02				
242.	Japanese Abstract for JP 2003096313 A Publi	cation date 03.04.03				
, 243.	Japanese Abstract for JP 2001011344 A Publi	cation date 16.01.01				
244.	Japanese Abstract for JP 2002313147 A Publi	cation date 25.10.02				
245.	Japanese Abstract for JP 2003146632 A Publi	cation date 21.05.03				
246.	Cotton and Wilkinson, Advanced Inorganic Ch 6th edition, Publication date March 1999, page	÷ 76				
247.	J.G. Smith Jr., et al. "Carbon Nanotube/Space Charge Dissipation" NASA Langley Research Baltimore, Maryland, November 4-7, 2002	Durable Polymer Nanocon Center; 34th International S	nposite Films for Electrostatic SAMPE Technical Conference,			
248.		_	•			
	Application Serial Number 10/675108 Filed Se COMPOSITIONS, METHODS OF MANUFAC COMPOSITIONS (Available in IFW)	TURE THEREOF AND AR	TICLES DERIVED FROM SUCH			
250.	Application Serial Number 10/803694 Filed Ma CONDUCTIVE COMPOSITIONS AND METHO					
251.	251. Application Serial Number 09/787,473; filed 03-16-2001 John Margrave CHEMICAL DERIVATIZATION OF SINGLE-WALL CARBON NANOTUBES TO FACILITATE SOLVATION THEREOF, AND USE OF DERIVATIZED NANOTUBES (Available in IFW)					
	252. Application Serial Number 10/674,096, filed 09-29-2003, Mark Elkovitch, CONDUCTIVE THERMOPLASTIC COMPOSITIONS, METHODS OF MANUFACTURE AND ARTICLES DERIVED FROM SUCH COMPOSITIONS (Available in IFW)					
253.	Application Serial Number 10/912919 Filed Au COMPOSITIONS AND METHOD OF MANUF.	gust 6, 2004, Clark et al., E	LECTRICALLY CONDUCTIVE enclosed)			
EXAMINER			CONSIDERED			